



## PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to:

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26689 7590 08/27/2004  
**WILDMAN, HARROLD, ALLEN & DIXON**  
225 WEST WACKER DRIVE  
09/14/2004 CHICAGO IL 60601-17 09726686

01 FC:8001 30.00 OP  
02 FC:2501 665.00 OP

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I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (703) 746-4000, on the date indicated below.

<b>Valeria C. Rodriguez</b>	(Depositor's name)
<i>Valeria C. Rodriguez</i>	(Signature)
<b>September 10, 2004</b>	(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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09/726,686	11/29/2000	Roger Cayton	12951US01	1366
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**TITLE OF INVENTION: SUBSTANTIALLY TRANSPARENT, ABRASION-RESISTANT FILMS CONTAINING SURFACE-TREATED NANOCRYSTALLINE PARTICLES**

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
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nonprovisional	NO	\$1330	\$0	\$1330	11/29/2004
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EXAMINER	ART UNIT	CLASS-SUBCLASS
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KILIMAN, LESZEK B	1773	428-323000
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1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).
- Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.
- "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.
2. For printing on the patent front page, list  
(1) the names of up to 3 registered patent attorneys or agents OR, alternatively,  
(2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.
- Wildman, Harrold, Allen & Dixon LLP  
2 \_\_\_\_\_  
3 \_\_\_\_\_

**3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)**

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

Nanophase Technologies Corporation Romeoville, IL

Please check the appropriate assignee category or categories (will not be printed on the patent):  Individual  Corporation or other private group entity  Government

4a. The following fee(s) are enclosed:

- Issue Fee  
 Publication Fee (No small entity discount permitted)  
 Advance Order - # of Copies 10

4b. Payment of Fee(s):

- A check in the amount of the fee(s) is enclosed.  
 Payment by credit card. Form PTO-2038 is attached.  
 The Director is hereby authorized to charge the required fee(s), or credit any overpayment, to Deposit Account Number 23-2126 (enclose an extra copy of this form).

**5. Change in Entity Status (from status indicated above)**

- a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27.  
 b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above. NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature Gregory M. Smith

Date 9/10/04

Typed or printed name Gregory M. Smith

Registration No. 43,136

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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12951US01

**IN THE UNITED STATES PATENT AND TRADEMARKS OFFICE**

In re Application of:  
Roger Cayton et al.

Serial No. 09/726,686

Filed: November 29, 2000

) SUBSTANTIALLY TRANSPARENT  
 ) ABRASION-RESISTANT FILMS  
 ) CONTAINING SURFACE-TREATED  
 ) NANOCRYSTALLINE PARTICLES  
 ) Group Art Unit 1773  
 ) Examiner: Leszek B. Kiliman  
 ) Notice of Allowance dated: 8/27/04

Assistant Commissioner for Patents  
Mail Stop: ISSUE FEE  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

**TRANSMITTAL LETTER**

Sir:

Please find the following enclosed for filing:

1. Issue Fee Transmittal in duplicate;
2. Two Filing Fee Checks, one for \$665.00 for the issue and one in the amount of \$30 for advance printed patent order; and
3. Return Receipt postcard.

Please charge any additional fees, including extension fees, which may be required or credit any overpayments to Deposit Account No. 23-2126. An extra copy is enclosed.

Respectfully submitted,

Date: 9/10/04

By: Gregory M. Smith  
Gregory M. Smith  
Reg. No. 43,136

Wildman, Harrold, Allen & Dixon LLP  
225 West Wacker Drive – Suite 3000  
Chicago, Illinois 60606-1229  
(312) 201-2000  
Fax: (312) 201-2555

**CERTIFICATE OF MAILING**

I hereby certify that this paper is being deposited with the United States Postal Service as first class mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450.

9/10/04  
Attala R. Burgess